

## PATENT COOPERATION TREATY

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## INTERNATIONAL PRELIMINARY EXAMINATION REPORT

(PCT Article 36 and Rule 70)

Applicant's or agent's file reference CITP_101	<b>FOR FURTHER ACTION</b> See Notification of Transmittal of International Preliminary Examination Report (Form PCT/IPEA/416)	
International application No. PCT/IN 2003/000006	International filing date (day/month/year) 7 January 2003 (07.01.2003)	Priority Date (day/month/year) 7 January 2002 (07.01.2002)
International Patent Classification (IPC) or national classification and IPC IPC <sup>7</sup> : C07D 307/87		
Applicant SUN PHARMACEUTICAL INDUSTRIES LIMITED		
<p>1. This international preliminary examination report has been prepared by this International Preliminary Examination Authority and is transmitted to the applicant according to Article 36.</p> <p>2. This REPORT consists of a total of <u>3</u> sheets, including this cover sheet.</p> <p><input type="checkbox"/> This report is also accompanied by ANNEXES, i.e., sheets of the description, claims and/or drawings which have been amended and are the basis for this report and/or sheets containing rectifications made before this Authority (see Rule 70.16 and Section 607 of the Administrative Instructions under the PCT).</p> <p>These annexes consist of a total of _____ sheets.</p> <p style="text-align: right;"><b>EPO -DG 1</b></p>		
<p>3. This report contains indications relating to the following items:</p> <p style="text-align: right;">06.08.2004</p> <p style="text-align: right;">(103)</p> <p>I. <input checked="" type="checkbox"/> Basis of the opinion</p> <p>II. <input checked="" type="checkbox"/> Priority</p> <p>III. <input type="checkbox"/> Non-establishment of opinion with regard to novelty, inventive step and industrial applicability</p> <p>IV. <input type="checkbox"/> Lack of unity of invention</p> <p>V. <input checked="" type="checkbox"/> Reasoned statement under Rule 66.2(a)(ii) with regard to novelty, inventive step or industrial applicability; citations and explanations supporting such statement</p> <p>VI. <input type="checkbox"/> Certain documents cited</p> <p>VII. <input type="checkbox"/> Certain defects in the international application</p> <p>VIII. <input type="checkbox"/> Certain observations on the international application</p>		
Date of submission of the demand 21.07.2003	Date of completion of this report 25 May 2004 (25.05.2004)	
Name and mailing address of the IPEA/AT Austrian Patent Office Dresdner Straße 87 A-1200 Vienna Facsimile No. 1/53424/200	Authorized officer BÖHM K. Telephone No. 1/53424/519	

Form PCT/IPEA/409 (cover sheet) (July 1998)

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## INTERNATIONAL PRELIMINARY EXAMINATION REPORT

International application No.

PCT/IN 03/00006-0

**I. Basis of the report****1. With regard to the elements of the international application:\***

- ☒ the international application as originally filed
- ☐ the description:  
pages \_\_\_\_\_, as originally filed  
pages \_\_\_\_\_, filed with the demand  
pages \_\_\_\_\_, filed with the letter of \_\_\_\_\_.
- ☐ the claims:  
pages \_\_\_\_\_, as originally filed  
pages \_\_\_\_\_, as amended (together with any statement) under Article 19  
pages \_\_\_\_\_, filed with the demand  
pages \_\_\_\_\_, filed with the letter of \_\_\_\_\_.
- ☐ the drawings:  
pages \_\_\_\_\_, as originally filed  
pages \_\_\_\_\_, filed with the demand  
pages \_\_\_\_\_, filed with the letter of \_\_\_\_\_.
- ☐ the sequence listing part of the description:  
pages \_\_\_\_\_, as originally filed  
pages \_\_\_\_\_, filed with the demand  
pages \_\_\_\_\_, filed with the letter of \_\_\_\_\_.

**2. With regard to the language, all the elements marked above were available or furnished to this Authority in the language in which the international application was filed, unless otherwise indicated under this item.**

These elements were available or furnished to this Authority in the following language \_\_\_\_\_ which is:

- ☐ the language of a translation furnished for the purposes of international search (under Rule 23.1(b)).
- ☐ the language of publication of the international application (under Rule 48.3(b)).
- ☐ the language of the translation furnished for the purposes of international preliminary examination (under Rule 55.2 and/or 55.3).

**3. With regard to any nucleotide and/or amino acid sequence disclosed in the international application, the international preliminary examination was carried out on the basis of the sequence listing:**

- ☐ contained in the international application in printed form.
- ☐ filed together with the international application in computer readable form.
- ☐ furnished subsequently to this Authority in written form.
- ☐ furnished subsequently to this Authority in computer readable form.
- ☐ The statement that the subsequently furnished written sequence listing does not go beyond the disclosure in the international application as filed has been furnished.
- ☐ The statement that the information recorded in computer readable form is identical to the written sequence listing has been furnished.

**4. ☐ The amendments have resulted in the cancellation of:**

- ☐ the description, pages \_\_\_\_\_.
- ☐ the claims, Nos. \_\_\_\_\_.
- ☐ the drawings, sheets/fig. \_\_\_\_\_.

**5. ☐ This report has been established as if (some of) the amendments had not been made, since they have been considered to go beyond the disclosure as filed, as indicated in the Supplemental Box (Rule 70.2(c)).\*\***

\* Replacement sheets which have been furnished to the receiving Office in response to an invitation under Article 14 are referred to in this report as „originally filed“ and are not annexed to this report since they do not contain amendments (Rules 70.16 and 70.17).

\*\* Any replacement sheet containing such amendments must be referred to under item 1 and annexed to this report.

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## INTERNATIONAL PRELIMINARY EXAMINATION REPORT

International application No.  
PCT/IN 03/00006-0

## V. Reasoned statement under Article 35(2) with regard to novelty, inventive step or industrial applicability; citations and explanations supporting such statement

1. Statement			
Novelty (N)	Claims	3,11-22,27-40,43	YES
	Claims	1,2,4-10,23-26,41,42	NO
Inventive step (IS)	Claims	3,11-22,27-40,43	YES
	Claims	1,2,4-10,23-26,41,42	NO
Industrial applicability (IA)	Claims	1-43	YES
	Claims	---	NO

## Citations and explanations (Rule 70.7)

The following documents have been cited in the Search Report:

D1: EP 1288211 A1

D2: WO 2001/068627 A1

The first document cited in the search report discloses the cyanide exchange in the presence of an iodide salt for the manufacture of citalopram as described in the present application.

In the WO 2001/068627 A1 the crystallisation of citalopram from a hydrocarbon and an ether is already mentioned and forms therefore part of the state of art.

Therefore these both parts of the present application (= claims 1,2,4-10, 23-26, 41, 42 ) are not new and do not involve an inventive step.

Industrial applicability is given.